# Clifford index for reduced curves

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### Abstract

We extend the notion of Clifford index to reduced curves with planar singularities by considering rank 1 torsion-free sheaves. We investigate the behaviour of the Clifford index with respect to the combinatorial properties of the curve and we show that Green's conjecture holds for certain classes of curves given by the union of two irreducible components.

keyword: Algebraic curve, Clifford index, Green's conjecture

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## 1 Introduction

The Clifford index for smooth curves was introduced by H. Martens in [15] (see also [12]), and many authors have investigated its relation with the geometry of smooth curves. If C is a smooth curve and  $\mathcal{L}$  is an invertible sheaf, then the Clifford index of  $\mathcal{L}$  is  $\text{Cliff}(\mathcal{L}) = \text{deg}(\mathcal{L}) - 2h^0(C, \mathcal{L}) + 2$  and the Clifford index of C is

$$\operatorname{Cliff}(C) = \min_{\mathcal{L} \in \operatorname{Pic}(C)} \{ \operatorname{deg}(\mathcal{L}) - 2h^0(C, \mathcal{L}) + 2 : h^0(C, \mathcal{L}) \ge 2, h^1(C, \mathcal{L}) \ge 2 \}.$$

For a smooth curve one always has  $\operatorname{Cliff}(C) \geq 0$ , with equality holding only for hyperelliptic curves. Similarly,  $\operatorname{Cliff}(C) = 1$  if and only if C is trigonal or plane quintic, and  $\operatorname{Cliff}(C) = 2$  if and only if C is tetragonal, or plane sextic (see [16] for a thorough analysis). Indeed, the Clifford index is closely, but not completely, related to the gonality since by [7] one has  $\operatorname{Cliff}(C) + 2 \leq \operatorname{gon}(C) \leq \operatorname{Cliff}(C) + 3$ .

Caporaso in [4] studied the Clifford index of invertible sheaves on semistable curves finding interesting connections with the combinatorial properties of the curve and pointing out the problems that can arise if the curve has disconnecting nodes. Tenni and the author in [10] considered singular curves, either reduced with planar singularities or lying on a smooth surface, and proved a generalisation of Clifford's theorem by studying rank 1 torsion-free sheaves of the form  $\mathcal{I}_S \omega_C$ , where S is a zero dimensional scheme and  $\omega_C$  is the canonical sheaf.

In this paper we consider reduced curves with planar singularities (e.g., semistable curves) and we study nef torsion-free sheaves of rank 1 whose degree is bounded from above by the degree of the canonical sheaf  $\omega_C$ . We remind that these curves are always Gorenstein.

Note that, for a curve C with many components the behaviour of the sections of a torsion-free sheaf and -as a consequence – of the Clifford index may be rather complicated. Nevertheless, it is possible to find an estimate for the Clifford index and to show some relations with the canonical ring of the curve. Indeed, given a reduced curve  $C = C_1 \cup \cdots \cup C_n$ , and a rank 1 torsion-free sheaf  $\mathcal{F}$  on C such that

$$0 \le \deg(\mathcal{F}_{|C_i}) \le \deg(\omega_{C|C_i}) \quad \forall C_i, \ i = 1, \cdots, n \tag{1}$$

we set  $\operatorname{Cliff}(\mathcal{F}) := \operatorname{deg}(\mathcal{F}) - 2h^0(C, \mathcal{F}) + 2$ . Taking into account all the sheaves that contributes to the Clifford index (see Definition 3.5) we give the following definition of Clifford index for a reduced curve C

$$\begin{split} \mathrm{Cliff}(C) &:= \min\{\mathrm{Cliff}(\mathcal{F}) : \quad \mathcal{F} \text{ rank 1 torsion-free sheaf s.t.} \\ \mathcal{F} \text{ verifies } (1) ; h^0(\mathcal{F}) \geq 2, \ h^1(\mathcal{F}) \geq 2 \}. \end{split}$$

In Section 3 we prove that this minimum does exist and we show lower and upper bound for such index, investigating its relation with the combinatorial properties of the curves, in particular *m*-connectedness. We remind that a curve *C* is *m*-connected if  $A \cdot B := \deg(\omega_{C|B}) - (2p_a(B) - 2) \ge m$  for any proper decomposition  $C = A \cup B$  (cf. [6, §3]).

More precisely, we prove firstly that  $\operatorname{Cliff}(C)$  can be negative if C is not 4-connected, bounded from below by -n+1, where n is the number of irreducible components of C (see Prop. 3.2) and in Example 3.12 we show that such bound is sharp providing examples given by chains of curves. Moreover, in Example 3.13 we exhibit a curve C not 4-connected with canonical sheaf very ample but whose Clifford index is negative, showing that for a curve with many components the geometric meaning of the Clifford index is more subtle.

On the contrary, in Thm. 3.6 we prove that  $\operatorname{Cliff}(C) \geq 0$  if C is 4connected and in Thm. 3.14 we prove that for every invertible sheaf  $\mathcal{L}$  one always has  $\operatorname{Cliff}(\mathcal{L}) \geq 0$ , independently from the connectedness of the curve. Finally, in Theorem 3.9 we point out the following constraints occasioned by the numerical connectedness of C: if C is *m*-connected but (m + 1)disconnected (that is, there is a decomposition  $C = A \cup B$  with  $A \cdot B = m$ ) and it does not contain rational components then

$$\operatorname{Cliff}(C) \le \min\left\{m-2, \left\lfloor \frac{p_a(C)-1}{2} \right\rfloor\right\}.$$

We remark that our results can still be applied to irreducible curves with planar singularities. In particular for an irreducible curve C one always has  $\operatorname{Cliff}(C) \geq 0$ , with equality holding iff C is hyperelliptic.

To show that the Clifford index we have introduced above has a geometrical meaning, in Section 4 we give a proof of Green's conjecture for a *m*-connected curve obtained glueing together two smooth curves. More precisely, we consider a stable curve  $C = C_1 \cup C_2$  given by the union of an irreducible smooth general curve  $C_1$  of genus  $g_1$  and an irreducible smooth curve  $C_2$  of genus  $g_2$ , meeting transversally in *m* distinct points. For such a curve C, if  $4 \leq m \leq \frac{g_1+1}{2}$  and  $1 \leq g_2$ , we show that Cliff(C) = m - 2 and Green's conjecture holds for *C*, i.e.,  $\mathcal{K}_{p,1}(C,\omega_C) = 0$  iff  $p \geq p_a(C) - \text{Cliff}(C) - 1$  (where  $\mathcal{K}_{p,1}(C,\omega_C)$  denotes the *p*-th Koszul group with value in  $\omega_C$  (see Green's paper [11]). This result is only a modest novelty, since it is based on fundamental results of Voisin in [19, 20] and Aprodu in [1], but we hope it should be helpful in studying curves with many components, e.g., stable curves.

A second application of our results can be found in the paper [3], where the authors, in order to characterise Brill-Noether-Petri curves, analyse the Petri homomorphism for rank 2 vector bundles on a (not necessarily smooth) curve C by using some results on the Clifford index.

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## 2 Notation and preliminary results

We work over an algebraically closed field  $\mathbb{K}$  of characteristic 0.

Throughout this paper  $C = C_1 \cup \cdots \cup C_n$  denotes a reduced curve with planar singularities. The  $C_i$ 's are the irreducible components of C.

A subcurve  $B \subseteq C$  is a curve of the form  $B = C_{i_1} \cup \cdots \cup C_{i_k}$  with  $\{i_1, \ldots, i_k\} \subseteq \{1, \ldots, n\}$ . For every subcurve  $B \subseteq C$  we denote by  $\omega_B$  its canonical sheaf (see [14], Chap. III, §7), by  $K_B$  a canonical divisor, that is, a Cartier divisor so that  $\mathcal{O}_B(K_B) \cong \omega_B$ , and by  $p_a(B)$  the arithmetic genus of B,  $p_a(B) = 1 - \chi(\mathcal{O}_B)$ . Note that, by our assumptions, every  $B \subseteq C$  is Gorenstein (i.e.,  $\omega_B$  is invertible.)

A decomposition  $C = A \cup B$  means  $A = C_{j_1} \cup \cdots \cup C_{j_h}$ ,  $B = C_{i_1} \cup \cdots \cup C_{i_k}$ such that  $\{j_1, \ldots, j_h\} \cup \{i_1, \ldots, i_k\} = \{1, \ldots, n\}.$ 

For a given decomposition  $C = A \cup B$ , we will use the following standard exact sequences:

$$0 \to \mathcal{O}_A(-B) \to \mathcal{O}_C \to \mathcal{O}_B \to 0, \tag{2}$$

$$0 \to \omega_A \to \omega_C \to \omega_{C|B} \to 0. \tag{3}$$

where  $\mathcal{O}_A(-B) \cong \mathcal{I}_{A \cap B} \cdot \mathcal{O}_A$ .

If  $C = A \cup B$  is a decomposition of C, then the intersection product  $A \cdot B$  is defined as follows

$$A \cdot B = \deg(\omega_{C|B}) - (2p_a(B) - 2).$$

A curve C is *m*-connected if  $A \cdot B \ge m$  for every effective decomposition  $C = A \cup B$  (cf. [6] for a detailed analysis on Gorentein curves). An *m*-connected curve C is said to be (m + 1)-disconnected if there is a decomposition  $C = A \cup B$  with  $A \cdot B = m$ .

For a decomposition  $C = A \cup B$  we will use frequently the key formula

$$p_a(C) = p_a(A) + p_a(B) + A \cdot B - 1.$$
(4)

Let  $\mathcal{F}$  be a rank 1 torsion-free sheaf on C. For every subcurve  $B \subseteq C$  the degree of  $\mathcal{F}$  on B can be defined by the formula  $\deg(\mathcal{F}_{|B}) = \chi(\mathcal{F}_{|B}) - \chi(\mathcal{O}_B)$ . A torsion-free sheaf  $\mathcal{F}$  is said to be nef if  $\deg(\mathcal{F}_{|B}) \geq 0$  for every  $B \subseteq C$ .

A cluster S of degree deg S = r is a 0-dimensional subscheme with length  $\mathcal{O}_S = \dim_{\mathbb{K}} \mathcal{O}_S = r$ . A cluster  $S \subset C$  is subcanonical if the space  $H^0(C, \mathcal{I}_S \omega_C)$  contains a generically invertible section, i.e., a section  $s_0$  which does not

vanish on any subcurve of C. Equivalently S is subcanonical if there exists an injective map  $\mathcal{O}_C \hookrightarrow \mathcal{I}_S \omega_C$  (see [10, §2.3]).

Given a subcanonical cluster S, we define its residual cluster  $S^*$  with respect to a generic invertible section  $s_0 \in H^0(C, \omega_C)$  by the following exact sequence

$$0 \longrightarrow \mathcal{H}om(\mathcal{I}_S\omega_C, \omega_C) \xrightarrow{\alpha} \mathcal{H}om(\mathcal{O}_C, \omega_C) \longrightarrow \mathcal{O}_{S^*} \longrightarrow 0$$

where the map  $\alpha$  is defined by  $\alpha(\varphi) : 1 \mapsto \varphi(s_0)$ . See [10, Section 2] for the definition and main properties.

In the following theorem we summarise some basic results proved in [6] on the relations of *m*-connectedness with the behaviour of the canonical sheaf  $\omega_C$ . For a general treatment see [6, §2, §3] and [5].

**Theorem 2.1** Let C be a Gorenstein curve, and let  $\omega_C$  be the canonical sheaf of C. Then

- (i) If C is 1-connected then  $H^1(C, \omega_C) \cong \mathbb{K}$ .
- (ii) If C is 2-connected and  $C \ncong \mathbb{P}^1$  then  $|\omega_C|$  is base point free. To be more precise, P is a base point for  $|\omega_C|$  if and only if there exist a decomposition  $C = C_1 \cup C_2$  such that  $C_1 \cdot C_2 = 1$  and P is a smooth point for each  $C_i$  satisfying  $\omega_{C|C_i} \cong \omega_{C_i}(P)$ .
- (iii) If C is 3-connected and C is not honestly hyperelliptic (i.e., there does not exist a finite morphism  $\psi: C \to \mathbb{P}^1$  of degree 2) then  $\omega_C$  is very ample.
- (cf. [6, Thm. 1.1, Thm. 3.3, Thm. 3.6] and [5, Proposition 2.4]).

**Remark 2.2** Note that for reduced curves the above implications are actually equivalences. Indeed, (i) is obvious; (ii) follows from the fact that a disconnecting point is necessarily a base point for  $|\omega_C|$ ; (iii) follows since, given a decomposition  $C = A \cup B$  with  $A \cap B = \{P, Q\}$ , then  $|\omega_C|$  does not separate the 2 points. See also [5, Proposition 2.4] for a detailed analysis of the base points of  $|\omega_C|$  on 2-disconnected curves.

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#### 3.1Clifford index of rank 1 torsion-free sheaves

In this section we extend the notion of Clifford index by taking into account nef rank 1 torsion-free sheaves whose multidegree is bounded from above by the degree of the canonical sheaf  $\omega_C$ .

**Definition 3.1** Let  $C = C_1 \cup \cdots \cup C_n$  be a connected reduced curve with planar singularities and let  $\mathcal{F}$  be a nef rank 1 torsion-free sheaf. The Clifford index of  $\mathcal{F}$  is

$$\operatorname{Cliff}(\mathcal{F}) := \operatorname{deg}(\mathcal{F}) - 2h^0(C, \mathcal{F}) + 2$$

First of all, let us consider the case  $\mathcal{F} = \mathcal{I}_S \omega_C$ , where  $S \subset C$  is a subcanonical cluster, i.e., S is a 0-dimensional scheme such that  $H^0(C, \mathcal{I}_S \omega_C)$  contains a generically invertible section.

**Proposition 3.2** Let  $C = C_1 \cup \cdots \cup C_n$  be a connected reduced curve with planar singularities and let S be a subcanonical cluster. Then

$$\operatorname{Cliff}(\mathcal{I}_S \omega_C) \ge -n+1.$$

**Proof.** We argue by induction on the number of irreducible components n.

If the curve C is irreducible or reducible and 2-connected it is a straightforward consequence of [10, Thm. 3.8].

If C is connected but 2-disconnected, then we may take a decomposition  $C = A \cup B$ , with A, B connected curves such that  $A \cdot B = 1$ , i.e.  $A \cap B = \{P\}$ , a point which is smooth for both. Let  $n_A$  be the number of irreducible components of A and  $n_B$  be the number of irreducible components of B, so that  $n = n_A + n_B$ .

Let S be a subcanonical cluster, i.e., assume that  $H^0(C, \mathcal{I}_S \omega_C)$  contains a section  $s_0$  which does not vanish on any subcurve of C and consider the intersection point P. Note that P is a base point for the system  $|\omega_C|$  by Theorem 2.1, hence without loss of generality we may assume that  $P \cap S \neq \emptyset$ . Indeed, if this is not the case, we may consider a residual cluster  $S^*$  with respect to  $s_0 \in H^0(C, \mathcal{I}_S \omega_C)$  (see §2). Since P is a base point for  $|\omega_C|$ , then P must intersect either S or  $S^*$ . Serve duality implies that the Clifford index of S and  $S^*$  coincide (see [10, Remark 2.13]), thus we may work with the cluster which contains P.

Since P is a smooth point for both the curves and C has planar singularities, we have the isomorphisms of invertible sheaves  $\omega_{C|A} \cong \omega_A(P)$  and  $\omega_{C|B} \cong \omega_B(P)$ . Hence, being  $P \cap S \neq \emptyset$ , there exists a cluster  $T_A$  on A, resp. a cluster  $T_B$  on B, such that  $(\mathcal{I}_S\omega_C)_{|A} \cong \mathcal{I}_{T_A}\omega_A$ , resp.  $(\mathcal{I}_S\omega_C)_{|B} \cong \mathcal{I}_{T_B}\omega_B$ . Note that they are subcanonical, since a generically invertible section in  $H^0(C, \mathcal{I}_S\omega_C)$  restricts to a generically invertible section in  $H^0(A, \mathcal{I}_S\omega_{C|A}) = H^0(\mathcal{I}_{T_A}\omega_A)$ , and similarly on B. Therefore by induction we may assume  $\operatorname{Cliff}(\mathcal{I}_{T_A}\omega_A) \geq -n_A + 1$  and  $\operatorname{Cliff}(\mathcal{I}_{T_B}\omega_B) \geq -n_B + 1$ .

We point out that  $\deg(\mathcal{I}_{T_A}\omega_A) + \deg(\mathcal{I}_{T_B}\omega_B) = \deg(\mathcal{I}_S\omega_C)$ . Consider now the Mayer-Vietoris sequence

$$0 \to \mathcal{I}_S \omega_C \to \begin{array}{c} \mathcal{I}_{T_A} \omega_A \\ \oplus \\ \mathcal{I}_{T_B} \omega_B \end{array} \to \mathcal{O}_P \to 0. \tag{5}$$

Firstly, assume that  $H^0(A, \mathcal{I}_{T_A}\omega_A) \oplus H^0(B, \mathcal{I}_{T_B}\omega_B) \to \mathcal{O}_P$  is onto. Note that this holds when  $\operatorname{Cliff}(\mathcal{I}_{T_A}\omega_A)$  is minimal since by [10, Lemma 2.19] the restriction map  $H^0(A, \mathcal{I}_{T_A}\omega_A) \to \mathcal{O}_P$  is surjective. In this case we have  $h^0(C, \mathcal{I}_S\omega_C) = h^0(A, \mathcal{I}_{T_A}\omega_A) + H^0(B, \mathcal{I}_{T_B}\omega_B) - 1$ , hence a straightforward computation yields  $\operatorname{Cliff}(\mathcal{I}_S\omega_C) \geq \operatorname{Cliff}(\mathcal{I}_{T_A}\omega_A) + \operatorname{Cliff}(\mathcal{I}_{T_B}\omega_B)$ . Therefore, by induction we have

$$\operatorname{Cliff}(\mathcal{I}_S\omega_C) \ge \operatorname{Cliff}(\mathcal{I}_{T_A}\omega_A) + \operatorname{Cliff}(\mathcal{I}_{T_B}\omega_B) \ge -n_A - n_B + 2 = -n + 2$$

If the above map (5) is not surjective on global sections, then in particular  $H^0(A, \mathcal{I}_{T_A}\omega_A) \to \mathcal{O}_P$  is not onto, hence we have that  $\text{Cliff}(\mathcal{I}_{T_A}\omega_A)$  is not minimal by [10, Lemma 2.19], i.e., by induction we may assume  $\text{Cliff}(\mathcal{I}_{T_A}\omega_A) \geq -n_A + 2$ .

Since in this case  $h^0(C, \mathcal{I}_S \omega_C) = h^0(A, \mathcal{I}_{T_A} \omega_A) + h^0(B, \mathcal{I}_{T_B} \omega_B)$ , then

$$\operatorname{Cliff}(\mathcal{I}_S\omega_C) \ge \operatorname{Cliff}(\mathcal{I}_{T_A}\omega_A) + \operatorname{Cliff}(\mathcal{I}_{T_B}\omega_B) - 2 \ge -n_A + 2 - n_B + 1 - 2 = -n + 1.$$

The following trivial remark will be useful in the proof of Theorem 3.4.

**Remark 3.3** Let  $C = A \cup B$  be an effective decomposition of C in nontrivial subcurves. Let  $S_A \subset A$  be a cluster such that  $A \cap B \subset S_A$  and let  $S_B \subset B$  be a cluster such that  $A \cap B \subset S_B$ . Consider an invertible sheaf  $\mathcal{L}_A$ supported on A and an invertible sheaf  $\mathcal{L}_B$  supported on B. Then the two rank 1 torsion-free sheaves  $\mathcal{I}_{S_A}\mathcal{L}_A$  and  $\mathcal{I}_{S_B}\mathcal{L}_B$  are supported respectively on A and B and the sheaf  $\mathcal{I}_{S_A}\mathcal{L}_A \oplus \mathcal{I}_{S_B}\mathcal{L}_B$  is a rank 1 torsion-free sheaf on C, since the sheaves living on the two curves can be glued together as they both vanish on the intersection. **Theorem 3.4** Let  $C = C_1 \cup \cdots \cup C_n$  be a connected reduced curve with planar singularities. Then the following numbers exist and coincide:

(1) 
$$\min\{\operatorname{Cliff}(\mathcal{F}) : \mathcal{F} \text{ rank 1 torsion-free sheaf s.t.} \\ 0 \leq \deg(\mathcal{F}_{|C_i}) \leq \deg \omega_{C|C_i} \text{ for } i = 1, \cdots, n; \\ h^0(\mathcal{F}) \geq 2, \ h^1(\mathcal{F}) \geq 2\}$$
  
(2)  $\min\{\operatorname{Cliff}(\mathcal{I}_S\omega_C) : S \subset C \text{ subcanonical cluster s.t.} \\ h^0(C, \mathcal{I}_S\omega_C) \geq 2, \ h^1(C, \mathcal{I}_S\omega_C) \geq 2\}$ 

**Proof.** By Proposition 3.2 the second minimum exists. It is moreover obvious that the second set is included in the first, thus such minimum is bigger than or equal to the infimum of the first set.

To conclude the proof it is enough to prove that for every rank 1 torsionfree sheaf  $\mathcal{F}$  in the first set attaining the minimal Clifford index there exists a subcanonical cluster T such that  $\mathcal{I}_T \omega_C \cong \mathcal{F}$ . This is equivalent to proving that a rank 1 torsion-free sheaf  $\mathcal{F}$  with minimal Clifford index is generically invertible and moreover there exists an inclusion  $\mathcal{F} \hookrightarrow \omega_C$  which is generically surjective.

For the first statement, assume for a contradiction that  $\mathcal{F}$  itself is not generically invertible and let  $B \subset C$  be the maximal subcurve of C such that every section  $s \in H^0(C, \mathcal{F})$  vanishes identically on B. Consider the decomposition  $C = A \cup B$ . Then by the standard exact sequence

$$0 \to \mathcal{F}_{|A}(-B) \to \mathcal{F} \to \mathcal{F}_{|B} \to 0$$

we obtain

$$H^0(A, \mathcal{F}_{|A}(-B)) \cong H^0(C, \mathcal{F}) \tag{6}$$

$$0 \to H^0(B, \mathcal{F}) \to H^1(A, \mathcal{F}_{|A}(-B)) \to H^1(C, \mathcal{F}) \to H^1(B, \mathcal{F}) \to 0.$$
(7)

We take the sheaf  $\mathcal{G} \cong \mathcal{F}_{|A}(-B) \oplus \mathcal{O}_B(A)(-A)$  (we write  $\mathcal{O}_B(A)(-A)$  to emphasise that we are considering it as the Kernel sheaf of the restriction map  $\mathcal{O}_B(A) \to \mathcal{O}_{A \cap B}$ ). By Remark 3.3,  $\mathcal{G}$  is a rank 1 torsion-free sheaf and moreover it verifies the inequalities  $0 \leq \deg(\mathcal{G}_{|C_i}) \leq \deg(\mathcal{F}_{|C_i})$  for every  $C_i$ since  $\mathcal{F}_{|A}(-B)$  does not vanish on any subcurve of A.

Then it is immediately seen that  $\mathcal{O}_C \hookrightarrow \mathcal{G}$ , i.e.,  $\mathcal{G}$  is generically invertible, and, since obviously  $\mathcal{O}_B(A)(-A) \cong \mathcal{O}_B$ , we obtain

$$h^{0}(\mathcal{G}) = h^{0}(B, \mathcal{O}_{B}) + h^{0}(A, \mathcal{F}_{|A}(-B)) \ge h^{0}(A, \mathcal{F}_{|A}(-B)) + 1.$$

Therefore by equation (6) we obtain  $h^0(\mathcal{G}) \ge h^0(C, \mathcal{F}) + 1 \ge 3$ . Furthermore by equation (7) we have

$$h^{1}(A, \mathcal{F}_{|A}(-B)) = h^{1}(C, \mathcal{F}) + h^{0}(B, \mathcal{F}) - h^{1}(B, \mathcal{F}),$$

that is,

$$h^{1}(\mathcal{G}) = h^{1}(B, \mathcal{O}_{B}) + h^{1}(A, \mathcal{F}_{|A}(-B)) \ge h^{1}(C, \mathcal{F}) + \deg(\mathcal{F}_{|B}) + h^{0}(\mathcal{O}_{B}) \ge 3.$$

Hence  $\mathcal{G}$  is a torsion-free sheaf in the first set such that  $\deg(\mathcal{G}) \leq \deg(\mathcal{F})$ ,  $h^0(\mathcal{G}) > h^0(\mathcal{F})$  and  $h^1(\mathcal{G}) \geq h^1(\mathcal{F})$ ; in particular  $\operatorname{Cliff}(\mathcal{G}) < \operatorname{Cliff}(\mathcal{F})$ , which is absurd.

Therefore we have  $\mathcal{O}_C \hookrightarrow \mathcal{F}$ . Now we show that  $\mathcal{F} \hookrightarrow \omega_C$  and that such morphism is generically surjective. The dual sheaf  $\mathcal{H}om(\mathcal{F},\omega_C)$  satisfies the same assumptions  $\mathcal{F}$  does and by Serre duality has the same Clifford index. Hence thanks to the previous step we have an injection  $\mathcal{O}_C \hookrightarrow \mathcal{H}om(\mathcal{F},\omega_C)$ . In particular  $H^0(C,\mathcal{O}_C) \hookrightarrow H^0(C,\mathcal{H}om(\mathcal{F},\omega_C)) = \operatorname{Hom}(\mathcal{F},\omega_C)$ , that is, there is a map from  $\mathcal{F}$  to  $\omega_C$  not vanishing on any component. By automatic adjunction ([6, Proposition 2.4]) we conclude that  $\mathcal{F} \cong \mathcal{I}_T \omega_C$ , for some suitable 0-dimensional scheme T.

## 3.2 Clifford index of curves

The above theorem allows us to introduce the following notion of Clifford index for a reduced curve.

**Definition 3.5** Let  $C = C_1 \cup \cdots \cup C_n$  be a connected reduced curve with planar singularities. The Clifford index of C is

$$\begin{aligned} \text{Cliff}(C) &:= \min\{\text{Cliff}(\mathcal{F}) : & \mathcal{F} \text{ rank 1 torsion-free sheaf s.t.} \\ & 0 \leq \deg(\mathcal{F}_{|C_i}) \leq \deg(\omega_{C|C_i}) \text{ for every } C_i ; \\ & h^0(\mathcal{F}) \geq 2, \ h^1(\mathcal{F}) \geq 2 \end{aligned} \end{aligned}$$

As in the smooth case, we say that a rank 1 torsion-free sheaf  $\mathcal{F}$  contributes to the Clifford index of the curve C if  $h^0(C, \mathcal{F}) \geq 2$  and  $h^1(C, \mathcal{F}) \geq 2$ .

For 4-connected curves the Clifford index is always non-negative as can be seen by the following result.

**Theorem 3.6** If C is a 4-connected reduced curve with planar singularities then  $\text{Cliff}(C) \geq 0$ , with equality holding if and only if C is honestly hyperelliptic.

**Proof.** By [10, Theorem B ] if C is 4-connected then for every rank 1 torsion-free sheaf  $\mathcal{F}$  we have  $h^0(C, \mathcal{F}) \leq \frac{\deg \mathcal{F}}{2} + 1$ .

Moreover the above mentioned theorem shows that if equality holds then  $\mathcal{F} \cong \mathcal{I}_T \omega_C$ , where T is a subcanonical cluster and, as in the smooth case,

either  $T \equiv 0, K_C$  or C is honestly hyperelliptic and T is a multiple of the honest  $g_2^1$ .

**Corollary 3.7** If C is an irreducible curve with planar singularities then  $\operatorname{Cliff}(C) \geq 0$  and it is 0 if and only if C is hyperelliptic.

If C has many components, numerical m-connectedness plays a relevant role in the the computation of the Clifford index. Indeed, we have the following

**Proposition 3.8** Let  $C = C_1 \cup \cdots \cup C_n$  be an *m*-connected reduced curve with planar singularities.

Assume there exists a decomposition  $C = A \cup B$  such that  $A \cdot B = m$ ,  $p_a(A) \ge 1$ ,  $p_a(B) \ge 1$ . Then there exists an invertible sheaf  $\mathcal{F}$  such that  $\operatorname{Cliff}(\mathcal{F}) = m - 2$ ,  $h^0(\mathcal{F}) \ge 2$ ,  $h^1(\mathcal{F}) \ge 2$ .

**Proof.** Consider the decomposition  $C = A \cup B$  with  $A \cdot B = m$ ,  $p_a(A) \ge 1$ ,  $p_a(B) \ge 1$ . Note that A and B are numerically connected by minimality of m (see [10, Lemma 2.8]).

If m = 1 then by Thm. 2.1 there exists a base point P for the canonical system. Thus  $\text{Cliff}(\mathcal{I}_P\omega_C) = -1$  and we may conclude.

From now on we may assume that  $m \geq 2$  and in particular that the system  $|\omega_C|$  is base point free. Choose a generic  $s \in H^0(A, \omega_{C|A})$  and take the effective divisor  $\Delta = div(s)$ . Since  $|\omega_C|$  is base point free,  $\Delta$  is the union of smooth points and moreover by our construction we may assume  $\Delta \cap B = \emptyset$ . Consider the invertible sheaf  $\mathcal{F} := \mathcal{O}_C(\Delta)$ . We have

$$\mathcal{F}_{|A} \cong \omega_{C|A}$$
,  $\mathcal{F}_{|B} \cong \mathcal{O}_{B}$ .

In particular  $\mathcal{F}(-B)_{|A} \cong \omega_A$  and we have the exact sequence

$$0 \to H^0(A, \omega_A) \to H^0(C, \mathcal{F}) \to H^0(B, \mathcal{O}_B) \to \\ \to H^1(A, \omega_A) \to H^1(C, \mathcal{F}) \to H^1(B, \mathcal{O}_B) \to 0.$$

But  $H^0(C, \mathcal{F})$  does not vanish on B by our construction, hence

$$h^{0}(C, \mathcal{F}) = h^{0}(B, \mathcal{O}_{B}) + h^{0}(A, \omega_{A}) = 1 + p_{a}(A) \ge 2$$
  
 $h^{1}(C, \mathcal{F}) = h^{1}(B, \mathcal{O}_{B}) + h^{1}(A, \omega_{A}) = 1 + p_{a}(B) \ge 2$ 

since both A and B are numerically connected.

Finally, by the above computation we get

Cliff(
$$\mathcal{F}$$
) = deg<sub>C</sub>( $\mathcal{O}_C(\Delta)$ ) - 2h<sup>0</sup>(C,  $\mathcal{O}_C(\Delta)$ ) + 2 =  
= 2p<sub>a</sub>(A) - 2 + m - 2 \cdot (1 + p<sub>a</sub>(A)) + 2 = m - 2.

As an immediate consequence we obtain the following theorem.

**Theorem 3.9** Let  $C = C_1 \cup \cdots \cup C_n$  be a connected reduced curve with planar singularities. Assume  $C_i \neq \mathbb{P}^1$  for every  $i = 1, \cdots, n$ .

If C is m-connected but (m+1)-disconnected, then

$$\operatorname{Cliff}(C) \le \min\left\{m-2, \left\lfloor \frac{p_a(C)-1}{2} \right\rfloor\right\}.$$

**Proof.** First of all, let us show that  $\operatorname{Cliff}(C) \leq \lfloor \frac{p_a(C)-1}{2} \rfloor$  by a degeneration argument.

With this aim, we point out that  $\mathcal{E}xt^1(\omega_C, \mathcal{O}_C)$  is a torsion sheaf and  $\mathcal{E}xt^2(\omega_C, \mathcal{O}_C) = 0$  since *C* is a reduced 1-dimensional Gorenstein scheme with planar singularities. Moreover we have  $\omega_C \cong \Omega_C^1$  (cf. [18, Chap. IV]), hence, by the Grothendieck local-to-global spectral sequence for Ext, we obtain  $\operatorname{Ext}^2(\Omega_C^1, \mathcal{O}_C) = 0$ . By standard arguments in deformation theory, this vanishing implies that there are no obstructions to deform *C* (see e.g., [17, Prop. 2.4.8, Ex. 2.4.9]).

Therefore we can consider a one-parameter degeneration  $f: X \to T$ , where X is a smooth surface and T an affine curve, i.e., we can assume that fis flat and proper and that there is a point  $t_0 \in T$  such that  $f^{-1}(t_0) := C_0 \cong C$ , whilst for every  $t \neq 0$ ,  $f^{-1}(t) := C_t$  is a smooth curve of genus  $g = p_a(C)$ . For each integer d, let  $\operatorname{Pic}_f^d$  be the degree-d relative Picard scheme of f parameterizing invertible sheaves of degree d on the fibres of f (see e.g. [13]). Then, for every invertible sheaf  $\mathcal{F}$  in  $\operatorname{Pic}_f^d$  we have  $\operatorname{Cliff}(\mathcal{F}_{|C_t}) \leq \lfloor \frac{p_a(C)-1}{2} \rfloor$ . Since  $h^0(\mathcal{F}_{|C_t})$  and  $h^1(\mathcal{F}_{|C_t})$  are semicontinuous function in t, if  $\mathcal{F}_{|C_t}$  contributes to the Clifford index for some t, then  $\mathcal{F}_{|C_0}$  contributes to the Clifford index of  $C_0$  and moreover by definition it is

$$\operatorname{Cliff}(\mathcal{F}_{|C_0}) \leq \operatorname{Cliff}(\mathcal{F}_{|C_t}) \leq \left\lfloor \frac{p_a(C) - 1}{2} \right\rfloor.$$

Now let us show that  $\operatorname{Cliff}(C) \leq m-2$ , where  $m := \min\{A \cdot B : C = A \cup B, A \neq \emptyset, B \neq \emptyset\}$ .

Take a proper decomposition  $C = A \cup B$  with  $A \cdot B = m$ . Note that  $p_a(A) \ge 1$ ,  $p_a(B) \ge 1$  by our assumptions, and A and B are numerically connected by minimality of m (see [10, Lemma 2.8]). Therefore by the above Proposition 3.8 there exists an invertible sheaf  $\mathcal{F} = \mathcal{O}_C(\Delta)$  which contributes to the Clifford index of C and whose Clifford index verifies  $\text{Cliff}(\mathcal{F}) = m - 2$ .

**Remark 3.10** If we restrict our attention to stable curves, i.e., if we consider  $\overline{\mathcal{M}}_g$ , the moduli space of stable curves of genus  $g = p_a(C)$ , it is worth mentioning that the Clifford index, as defined in Definition 3.5, is not the limit of the Clifford index of smooth curves. More precisely, if a curve C is the limit of smooth curves  $C_t$  with  $\operatorname{Cliff}(C_t) \leq \gamma$ , then by semicontinuity we still have  $\operatorname{Cliff}(C) \leq \gamma$ , but the converse does not hold. Indeed, one can see that the locus of m-connected stable curves has codimension m in  $\overline{\mathcal{M}}_g$ , and Theorem 3.9 shows that those curves have Clifford index at most m-2. On the contrary, one can consider  $\mathcal{M}_{g,d}^r$ , the locus consisting of smooth curves carrying a  $g_d^r$ . For suitable values of r and d, such locus consists of smooth curves having Clifford index  $\leq m-2$ , and for small value of m, it has a far bigger codimension than m. Therefore a simple dimension count shows that Cliff(C) may not coincide with the limit Cliff $(C_t)$ .

**Remark 3.11** Let C be a m-connected, but (m+1)-disconnected, reduced curve of arithmetic genus  $p_a(C) > 0$  and let  $C = A \cup B$  be a decomposition of C in two connected curves of arithmetic genus  $p_a(A)$ , respectively  $p_a(B)$ , such that  $A \cdot B = m$ .

If  $m \leq p_a(A) + p_a(B) + 2$  then it is  $m - 2 \leq \lfloor \frac{p_a(C) - 1}{2} \rfloor$ . Therefore it is easy to construct stable curves with given Clifford index just by taking m satisfying the above relation.

## 3.3 Examples of curves with negative Clifford index

In this section we are going to show two examples of curves having negative Clifford index. The first example shows that the inequality of Proposition 3.2 is sharp. The second example shows that for curves not 4-connected the geometric interpretation of the Clifford index is more subtle.

**Example 3.12** Let  $C = \bigcup_{i=0}^{n} \Gamma_i$  be a chain of smooth curves  $\Gamma_i$ , i.e.  $\Gamma_i \cdot \Gamma_{i+1} = 1$ , otherwise  $\Gamma_i \cdot \Gamma_j$  vanishes.

$$\Gamma_0 - \Gamma_1 - \Gamma_{n-1} - \Gamma_n$$

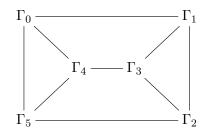
Assume that every  $\Gamma_i$  has positive genus and set  $S = \operatorname{Sing} C$ .

Then  $h^0(C, \mathcal{I}_S \omega_C) = \sum_{i=1}^n p_a(C_i) = p_a(C)$ . Therefore we obtain

$$\text{Cliff}(\mathcal{I}_{S}\omega_{C}) = 2p_{a}(C) - 2 - \deg S - 2h^{0}(C, \mathcal{I}_{S}\omega_{C}) + 2 = -n + 1.$$

Note that in the above example every point in S is a base point of  $|\omega_C|$ . Now, let us point out that this is not always the case. Indeed, if C is 3-connected but not 4-connected (i.e., there exists a decomposition  $C = A \cup B$  such that  $A \cdot B = 3$ ) then it might happen that Cliff(C) < 0 even thought  $\omega_C$  is very ample and normally generated, as shown in the following example.

**Example 3.13** Take  $C = \bigcup_{i=0}^{5} \Gamma_i$  and suppose that  $p_a(\Gamma_i) \ge 2$  for every *i*. Suppose moreover that the intersection products are defined by the following dual graph, where the existence of the simple line means that the intersection product between the two curves is 1.



In this case  $\omega_C$  is very ample and normally generated by [9, Thm. 3.3].

For simplicity, assume moreover that  $\Gamma_i \cap \Gamma_j \cap \Gamma_k = \emptyset$  for every i, j, k. Take  $S = \bigcup_{i,j} (\Gamma_i \cap \Gamma_j)$ , which is a degree 9 cluster. Then it is easy to check that  $h^0(C, \mathcal{I}_S \omega_C) = \bigoplus_{i=0}^5 h^0(\Gamma_i, K_{\Gamma_i})$ , which yields  $\text{Cliff}(\mathcal{I}_S \omega_C) = -1$ . (see [10, Example 5.2]).

### 3.4 Clifford index of invertible sheaves

The following theorem shows that the Clifford index of an invertible sheaf is always nonnegative.

**Theorem 3.14** Let  $C = C_1 \cup \cdots \cup C_n$  be a reduced curve with planar singularities. Let  $\mathcal{L}$  be an invertible sheaf such that  $0 \leq \deg(\mathcal{L}_{|C_i}) \leq \deg(\omega_{C|C_i})$  for  $i = 1, \cdots, n$ . Then

$$h^0(C, \mathcal{L}) \le \frac{1}{2} \deg \mathcal{L} + 1, \ i.e., \quad \text{Cliff}(\mathcal{L}) \ge 0.$$
 (8)

**Proof.** First of all, we remark that we may assume C to be connected since  $h^0$  and deg are additive with respect to each connected component and that we may assume  $\mathcal{L} \not\cong \mathcal{O}_C$ ,  $\mathcal{L} \not\cong \omega_C$  and  $h^0(C, \mathcal{L}) \neq 0$ , since otherwise eq. (8) is obvious.

Consider an invertible sheaf  $\mathcal{L} \not\cong \mathcal{O}_C, \omega_C$  such that  $0 \leq \deg(\mathcal{L}_{|C_i}) \leq \deg(\omega_{C|C_i})$  for  $i = 1, \dots, n$ , and assume that  $\operatorname{Cliff}(\mathcal{L})$  is minimal. Arguing as in the proof of Theorem 3.4 we conclude that  $\mathcal{O}_C \hookrightarrow \mathcal{L} \hookrightarrow \omega_C$ , i.e., there exists a subcanonical Cartier divisor S such that  $\mathcal{L} \cong \mathcal{I}_S \omega_C$  (see also [10, §2.3]). Hence it is sufficient to show that for every subcanonical Cartier divisor S one has  $\operatorname{Cliff}(\mathcal{I}_S \omega_C) \geq 0$ . We prove this result by induction on the number of irreducible components of C. To simplify the notation we write  $K_C - S$  for the divisor such that  $\mathcal{O}_C(K_C - S) \cong \mathcal{I}_S \omega_C$ .

If C is irreducible, the classical Clifford's theorem holds (see [2, §III:1], or see [10, Theorem A] for the singular case). If C is 2-connected the result follows from [10, Theorem A, case (a)].

Therefore we are left to prove that equation (8) holds for reducible, connected but 2-disconnected curves, i.e., we may assume that there exist connected subcurves  $C_1$  and  $C_2$  such that  $C = C_1 \cup C_2$  and  $C_1 \cap C_2$  consists of one single point P. In this case P is a smooth point for both curves and for i = 1, 2 we can write  $K_{C|C_i} \equiv K_{C_i} + P$  as divisors on  $C_i$ .

Take the subcanonical Cartier divisor S. Arguing as in Prop. 3.2 we may assume that  $P \cap S \neq \emptyset$  since otherwise we can take a residual Cartier divisor  $S^*$ .

Set  $S_1 := S \cap C_1$  and  $S_2 := S \cap C_2$ . By the above argument  $P \cap S_i \neq \emptyset$  for i = 1, 2, and, since P is a smooth point for each  $C_i$ , both the divisors  $(S_1 - P)$  and  $(S_2 - P)$  are Cartier and effective. Moreover they are subcanonical on both the subcurves, since a generically invertible section in  $H^0(C, K_C - S)$  restricts to a generically invertible section in  $H^0(C_i, K_{C_i}(-(S_i - P)))$ .

The exact sequence (3) for the splitting  $C = C_1 \cup C_2$  can be written as follows:

$$0 \to \omega_{C_1}(-S_1) \to \omega_C(-S) \to \omega_{C_2}(-(S_2 - P)) \to 0.$$

In particular it gives rise to the inequality

$$h^{0}(C, K_{C} - S) \leq h^{0}(C_{1}, K_{C_{1}} - S_{1}) + h^{0}(C_{2}, K_{C_{2}} - (S_{2} - P)).$$
 (9)

On  $C_2$  we may apply our induction argument obtaining  $h^0(C_2, K_{C_2} - (S_2 - P)) \leq \frac{1}{2} \deg(K_{C_2} - (S_2 - P)) + 1.$ 

Now, let us consider  $H^0(C_1, K_{C_1} - S_1)$ . Counting dimensions we have either

that  $h^0(C_1, K_{C_1} - S_1) = h^0(C_1, K_{C_1} - S_1 + P) - 1$  or that  $h^0(C_1, K_{C_1} - S_1) = h^0(C_1, K_{C_1} - (S_1 - P)).$ 

In the first case, eq. (9) becomes

$$h^{0}(C, K_{C} - S) \leq h^{0}(C_{1}, K_{C_{1}} - (S_{1} - P)) - 1 + h^{0}(C_{2}, K_{C_{2}} - (S_{2} - P)).$$

But  $S_1 - P$  and  $S_2 - P$  are subcanonical divisors on each subcurve, hence we may apply induction on  $C_1$  and  $C_2$  obtaining

$$h^{0}(C, K_{C} - S) \leq \frac{1}{2} \deg(K_{C_{1}} - (S_{1} - P)) + 1 - 1 + \frac{1}{2} \deg(K_{C_{2}} - (S_{2} - P)) + 1$$
  
=  $\frac{1}{2} \deg(K_{C} - S) + 1.$ 

In the second case,  $H^0(C_1, K_{C_1} - S_1) \cong H^0(C_1, K_{C_1} - (S_1 - P))$  and in particular  $S_1$  is subcanonical on  $C_1$  too. Therefore we may apply induction on  $C_1$  and  $C_2$  and by eq. (9) we get

$$h^{0}(C, K_{C} - S) \leq h^{0}(C_{1}, K_{C_{1}} - S_{1}) + h^{0}(C_{2}, K_{C_{2}} - (S_{2} - P))$$
  
$$\leq \frac{1}{2} \deg(K_{C_{1}} - S_{1}) + 1 + \frac{1}{2} \deg(K_{C_{2}} - (S_{2} - P)) + 1$$
  
$$= \frac{1}{2} \deg(K_{C} - S) + \frac{3}{2}.$$

To conclude the proof it is enough to show that the above inequality is strict. We argue by contradiction. Assume that  $h^0(C, K_C - S) = \frac{1}{2} \deg(K_C - S) + \frac{3}{2}$ . Then necessarily

$$h^{0}(C_{1}, K_{C_{1}} - S_{1}) = \frac{1}{2} \deg(K_{C_{1}} - S_{1}) + 1;$$
  

$$h^{0}(C_{2}, K_{C_{2}} - (S_{2} - P)) = \frac{1}{2} \deg(K_{C_{2}} - (S_{2} - P)) + 1.$$

In particular deg  $S_1$  must be even and deg  $S_2$  must be odd. But we may switch the roles of  $C_1$  and  $C_2$  and conclude that deg  $S_2$  is even and deg  $S_1$  is odd, which is clearly a contradiction.

**Remark 3.15** The above result can be extended to non-reduced curves, under suitable assumptions. Indeed, the above theorem holds for 2-connected curves, whilst in the 2-disconnected case the key point of the proof is the existence of a a decomposition  $C = C_1 \cup C_2$  with  $C_1 \cdot C_2 = 1$  such that:

- (a)  $C_1$  and  $C_2$  satisfy Clifford's inequality;
- (b)  $P = C_1 \cap C_2$  is a base point for  $|K_C|$  and P is a smooth point on  $C_i$ .

In order to use point (a) we do not really need that  $C_i$  are reduced, just that they satisfy Clifford's inequality for some reason. E.g. 2-connected (possibly nonreduced) curves are perfectly fine.

In order to deal with point (b) the key fact is that by Theorem 2.1 P is a base point for  $|\omega_C|$  if and only if there exists a subcurve  $B \subset C$  such that  $\omega_{C|B} \cong \omega_B(P)$  and P is smooth for B.

# 4 Green's conjecture for certain classes of reduced *m*-connected curves

Let C be a reduced curve, let  $\mathcal{H}$  be an invertible sheaf on C and let  $W \subseteq H^0(C, \mathcal{H})$  be a subspace which yields a base point free system of projective dimension r.

The Koszul groups  $\mathcal{K}_{p,q}(C, \mathcal{H}, W)$  are defined as the cohomology at the middle of the complex

$$\bigwedge^{p+1} W \otimes H^0(\mathcal{H}^{q-1}) \longrightarrow \bigwedge^p W \otimes H^0(\mathcal{H}^q) \longrightarrow \bigwedge^{p-1} W \otimes H^0(\mathcal{H}^{q+1})$$

If  $W = H^0(C, \mathcal{H})$  they are usually denoted by  $\mathcal{K}_{p,q}(C, \mathcal{H})$  (see [11] for the definition and main results). The groups  $\mathcal{K}_{p,q}(C, \mathcal{H})$  play a significant role if  $\mathcal{H}$  is very ample and normally generated since in this case  $\mathcal{K}_{p,q}(C, \mathcal{H}) \otimes \mathcal{O}_{\mathbb{P}^n}(-p-q)$  are the terms of the resolution of the ideal sheaf of the embedded curve (see [11, Thm. 2.a.15]).

If C is a Gorenstein curves with planar singularities, 3-connected and not (honestly) hyperelliptic then by [9, Thm. 3.3]  $\omega_C$  is very ample and normally generated. Therefore it is worth studying the Koszul groups  $\mathcal{K}_{p,q}(C,\omega_C)$ . Indeed, we have the following result.

**Theorem 4.1** Let C be a Gorenstein curve of aritmetic genus  $p_a(C) \ge 3$ , with planar singularities, 3-connected and not honestly hyperelliptic. Then

- $\mathcal{K}_{0,q}(C,\omega_C) = 0$  for all q > 0, i.e.,  $\omega_C$  is normally generated;
- $\mathcal{K}_{p,q}(C,\omega_C) = 0$  if  $q \ge 4$ ;
- $\mathcal{K}_{p,3}(C,\omega_C) \cong \mathbb{C}$  if p = g 2, and  $\mathcal{K}_{p,3}(C,\omega_C) = 0$  if  $p \neq g 2$ ;
- $\mathcal{K}_{p,1}(C,\omega_C)^{\vee} \cong \mathcal{K}_{g-p-2,2}(C,\omega_C);$
- $\mathcal{K}_{p,1}(C,\omega_C) = 0 \Rightarrow \mathcal{K}_{p',1}(C,\omega_C) = 0 \ \forall p' \ge p;$

•  $\mathcal{K}_{p,2}(C,\omega_C) = 0 \Rightarrow \mathcal{K}_{p',2}(C,\omega_C) = 0 \ \forall p' \le p.$ 

**Proof.**  $\mathcal{K}_{0,q}(C,\omega_C) = 0$  for all q > 0 follows by [9, Thm. 3.3]. The remaining statements follows from the same the arguments used for smooth curves (see [11, Thm. 4.3.1]) and by the duality results given in [8, Prop. 1.4].

Taking Definition 3.5 for a generalisation of the usual Clifford index, Green's Conjecture ([11, Conjecture 5.1] can be formulated without changes, i.e., given a 4-connected not hyperelliptic Gorenstein curve C then one may ask if

$$\mathcal{K}_{p,1}(C,\omega_C) = 0 \iff p \ge p_a(C) - \operatorname{Cliff}(C) - 1.$$

First of all, we show that, as in the smooth case, one of the implications holds.

**Proposition 4.2 (Green-Lazarsfeld)** Let  $C = C_1 \cup \cdots \cup C_n$  be a 4connected, not honestly hyperelliptic, reduced curve with planar singularities. Assume  $C_i \neq \mathbb{P}^1$  for every  $i = 1, \cdots, n$ .

Then

$$p \leq p_a(C) - \text{Cliff}(C) - 2 \Longrightarrow \mathcal{K}_{p,1}(C, \omega_C) \neq 0$$

**Proof.** First of all let us point out that  $\omega_C$  is normally generated by Theorem 4.1 and  $\text{Cliff}(C) \ge 0$  by Theorem 3.6.

Take a proper subcanonical cluster S such that  $\mathcal{I}_S \omega_C$  computes the Clifford index of C (cf. Theorem 3.4). Consider a generic invertible section  $s_0 \in H^0(C, \omega_C)$  and let  $\Lambda := div(s_0)$  be the effective divisor corresponding to  $s_0, S^*$  be the residual cluster of S with respect to  $s_0$ . Now, by [10, §2], we have the following exact sequence

$$0 \to \mathcal{O}_C \cong \mathcal{I}_\Lambda \omega_C \to \mathcal{I}_S \omega_C \to \mathcal{O}_{S^*} \to 0.$$

Therefore we can consider  $\mathbb{P}(H^0(\mathcal{I}_S\omega_C))$  as a  $g_d^r$ , where  $d = \deg \mathcal{I}_S\omega_C$ and  $h^0(\mathcal{I}_S\omega_C) = r + 1$  and  $\mathbb{P}(H^0(\mathcal{I}_{S^*}\omega_C))$  as the residual  $g_{d'}^{r'}$ , where  $d' = \deg \mathcal{I}_{S^*}\omega_C$  and  $h^0(\mathcal{I}_{S^*}\omega_C) = r' + 1$ . Setting

$$W_1 = \operatorname{Im} \{ H^0(\mathcal{I}_S \omega_C) \hookrightarrow H^0(\omega_C) \}, \quad W_2 = \operatorname{Im} \{ H^0(\mathcal{I}_{S^*} \omega_C) \hookrightarrow H^0(\omega_C) \}$$

and

$$\overline{D}_1 = \operatorname{Ann}(W_1) \subset H^0(C,\omega_C)^{\vee}, \quad \overline{D}_2 = \operatorname{Ann}(W_2) \subset H^0(C,\omega_C)^{\vee}$$

we can repeat verbatim the argument adopted by Green and Lazarsfeld in [11, Appendix] obtaining  $\mathcal{K}_{r+r'-1,1}(C,\omega_C) \neq 0$ .

To conclude it is enough to see that  $r + r' - 1 = p_a(C) - \text{Cliff}(C) - 2$ since  $d' = 2p_a(C) - 2 - d$  and  $\text{Cliff}(\mathcal{I}_S \omega_C) = \text{Cliff}(\mathcal{I}_{S^*} \omega_C)$  by Serre duality. The non vanishing of  $\mathcal{K}_{p,1}(C, \omega_C)$  for every  $p < p_a(C) - \text{Cliff}(C) - 2$  follows from Theorem 4.1.

**Corollary 4.3** Let  $C = C_1 \cup \cdots \cup C_n$  be a connected reduced curve with planar singularities. Assume  $C_i \neq \mathbb{P}^1$  for every  $i = 1, \cdots, n$ .

If C is m-connected but (m+1)-disconnected then  $\operatorname{Cliff}(C) \leq m-2$  and  $\mathcal{K}_{p,1}(C,\omega_C) \neq 0$  if  $p \leq p_a(C) - m$ .

In the following theorem, we show that Green's conjecture holds in the particular case of a stable curve consisting of two smooth components intersecting in m distinct points.

**Theorem 4.4** Let  $g_1, g_2, m$  be integers such that  $4 \le m \le \frac{g_1+1}{2}$  and  $g_2 \ge 1$ . Let  $C = C_1 \cup C_2$  be a stable curve given by the union of an irreducible smooth general curve  $C_1$  of genus  $g_1$  and an irreducible smooth curve  $C_2$  of genus  $g_2$ , meeting transversally in m distinct points  $\{x_1, \dots, x_m\}$ . Then

 $\operatorname{Cliff}(C) = m - 2$  and  $\mathcal{K}_{p,1}(C,\omega_C) = 0 \iff p \ge p_a(C) - \operatorname{Cliff}(C) - 1.$ 

**Proof.** Since  $p_a(C) = g_1 + g_2 + m - 1$ , the theorem follows if we prove that  $\operatorname{Cliff}(C) = m - 2$  and  $\mathcal{K}_{p,1}(\omega_C) = 0$  if and only if  $p \ge g_1 + g_2$ .

First of all, note that by Thm. 2.1 the linear system  $|\omega_C|$  yields an embedding  $\varphi: C \hookrightarrow \mathbb{P}^{p_a(C)-1}$  such that  $\varphi(C)$  is the union of two curves of genus  $g_1$  (resp.  $g_2$ ) and degree  $2g_1 - 2 + m$  (resp.  $2g_2 - 2 + m$ ) intersecting in m points  $\{\varphi(x_1), \dots, \varphi(x_m)\}$ .

For simplicity, we set  $W := H^0(C, \omega_C)$  and we denote by S(W) the symmetric algebra on W.

Consider the standard exact sequence

 $0 \to \mathcal{O}_{C_2}(-C_1) \to \mathcal{O}_C \to \mathcal{O}_{C_1} \to 0.$ 

Twisting with  $\omega_C^{\otimes q}$  and taking cohomology we get the following exact sequence of S(W)-modules,

$$0 \to \bigoplus_{q \ge 0} H^0(C_2, \omega_C|_{C_2}^{\otimes q}(-C_1)) \to \bigoplus_{q \ge 0} H^0(C, \omega_C^{\otimes q}) \to \bigoplus_{q \ge 0} H^0(C_1, \omega_C|_{C_1}^{\otimes q})) \to 0$$
(10)

where the maps preserve the grading.

We emphasise that  $\varphi(C_1)$  and  $\varphi(C_2)$  are embedded as degenerate curves in  $\mathbb{P}(W^{\vee})$ , but we can still consider every term above as S(W)-modules. Therefore we will use the notation  $\mathcal{K}_{p,q}(-,-,W)$  to point out that we are finding the resolution of the ideal of such degenerate curves (see [11, Proof of Thm. (3.b.7)] for a similar argument).

By [11, Corollary 1.4.d, Thm. 3.b.1] we have the following exact sequence of Koszul groups :

$$\cdots \to \mathcal{K}_{p+1,0}(C_1, \omega_{C|C_1}, W) \to \mathcal{K}_{p,1}(C_2, \mathcal{O}_{C_2}(-C_1), \omega_{C|C_2}, W) \to \\ \to \mathcal{K}_{p,1}(C, \omega_C) \to \mathcal{K}_{p,1}(C_1, \omega_{C|C_1}, W) \to \cdots$$
(11)

To deal with the above groups we consider the splittings

 $W = H^{0}(C, \omega_{C}) = H^{0}(C_{2}, \omega_{C|C_{2}}) \bigoplus U \text{ with } U \cong H^{0}(C_{1}, \omega_{C_{1}}),$  $W = H^{0}(C, \omega_{C}) = H^{0}(C_{1}, \omega_{C|C_{1}}) \bigoplus Z \text{ with } Z \cong H^{0}(C_{2}, \omega_{C_{2}}).$ 

Setting  $s = \max\{0, p - g_1\}, t = \max\{0, p - g_2\}$  we have the following decompositions of the Koszul groups appearing in the above exact sequence: p-p'

$$\mathcal{K}_{p,1}(C_2, \mathcal{O}_{C_2}(-C_1), \omega_{C|C_2}, W) = \bigoplus_{s \le p' \le p} \left[ \mathcal{K}_{p',1}(C_2, \mathcal{O}_{C_2}(-C_1), \omega_{C|C_2}) \otimes \bigwedge^{-1} U \right]$$
$$\mathcal{K}_{p,1}(C_1, \omega_{C|C_1}, W) = \bigoplus_{t \le p'' \le p} \left[ \mathcal{K}_{p'',1}(C_1, \omega_{C|C_1}) \otimes \bigwedge^{p-p''} Z \right]$$

Let us study at first  $\mathcal{K}_{p,1}(C_2, \mathcal{O}_{C_2}(-C_1), \omega_{C|C_2}, W)$ .

Fix  $p' \leq p$ . By duality (cf. [8, Prop. 1.4]) and the shift properties of  $\mathcal{K}_{p,q}$  (cf. [11, (2.a.17)]), we have the following isomorphisms

$$\begin{aligned} \mathcal{K}_{p',1}(C_2, \mathcal{O}_{C_2}(-C_1), \omega_{C|C_2}) &\cong \mathcal{K}_{g_2+m-3-p',1}(C_2, \omega_{C_2} \otimes \mathcal{O}_{C_2}(C_1), \omega_{C|C_2}) \\ &\cong \mathcal{K}_{g_2+m-3-p',2}(C_2, \omega_{C|C_2}). \end{aligned}$$

But  $\deg(\omega_{C|C_2}) = 2g_2 - 2 + m$ . Hence by [11, Theorem (4.a.1)]

$$\mathcal{K}_{g_2+m-3-p',2}(C_2,\omega_{C|C_2}) = 0$$
 if  $g_2+m-3-p' \le m-3$ .

Therefore we get

$$\mathcal{K}_{p,1}(C_2, \mathcal{O}_{C_2}(-C_1), \omega_{C|C_2}, W) = 0 \text{ if } p \ge g_1 + g_2.$$
 (12)

Now let us study  $\mathcal{K}_{p,1}(C_1, \omega_{C|C_1}, W)$ .

By our assumption  $C_1$  is a general curve of genus  $g_1 \ge 2m - 1$ . For a general curve of genus  $g_1$  we have  $\operatorname{Cliff}(C_1) = \lfloor \frac{g_1 - 1}{2} \rfloor$  and by the results of Voisin on Green's conjecture for smooth curves with maximal Clifford index ([19], [20]) we have  $\mathcal{K}_{p,1}(C_1, \omega_{C_1}) = 0$  if  $p \ge g_1 - \lfloor \frac{g_1 + 1}{2} \rfloor$ .

By our construction  $\omega_{C|C_1} \cong \omega_{C_1}(C_2) \cong \omega_{C_1} \otimes \mathcal{O}_{C_1}(x_1 + \ldots + x_m)$ , hence by the result of Aprodu on adjoint bundles [1, Thm. 3] we get

$$\mathcal{K}_{p'',1}(C_1,\omega_C) = 0 \text{ if } p'' \ge g_1 + m - \left\lfloor \frac{g_1 + 1}{2} \right\rfloor$$

and in particular

$$\mathcal{K}_{p,1}(C_1, \omega_{C|C_1}, W) = 0 \text{ if } p \ge g_2 + g_1 + m - \left\lfloor \frac{g_1 + 1}{2} \right\rfloor.$$
 (13)

Therefore, since  $m \leq \frac{g_1+1}{2}$  by our assumptions, we obtain

$$\mathcal{K}_{p,1}(C_1, \omega_{C|C_1}, W) = 0 \text{ if } p \ge g_1 + g_2.$$

Putting our vanishing results (12) and (13) into the exact sequence (11) we deduce that

$$\mathcal{K}_{p,1}(C,\omega_C) = 0$$
 if  $p \ge g_1 + g_2 = p_a(C) - (m-2) - 1.$ 

To conclude the proof note that the above vanishing result implies  $\operatorname{Cliff}(C) \geq m-2$  by Proposition 4.2, whereas we have  $\operatorname{Cliff}(C) \leq m-2$  by Thm. 3.9 because  $m-2 \leq \lfloor \frac{p_a(C)-1}{2} \rfloor$  by our numerical assumptions, that is,  $\operatorname{Cliff}(C) = m-2$  and  $\mathcal{K}_{p,1}(C, \omega_C) = 0$  if and only if  $p \geq p_a(C) - \operatorname{Cliff}(C) - 1$ .

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